

The MinRank problem over finite fields and Zero-knowledge authentication

[http : //www.cryptosystem.net/minrank/](http://www.cryptosystem.net/minrank/)

Nicolas T. Courtois

courtois@minrank.org

[http : //www.nicolascourtois.net](http://www.nicolascourtois.net)



Road map

- ◇ "Usual cryptography" vs. multivariate cryptography with NP-complete problems
- ◇ The decoding problems and MinRank problem
- ◇ Attacks on MinRank problem
- ◇ Relevance to other known cryptosystems
- ◇ The new Zero-knowledge authentication scheme
- ◇ Comparison to other schemes based on NP-hard problems
- ◇ Multi-user setting, anonymous group authentication, ring signatures

Good cryptography

The security should be **provably** reduced to some **difficult problem** (and will be).

Multivariate vs. "usual" P.K. cryptography

Main stream PK-cryptography basic problems :

- ◇ defined using **univariate** or **bivariate** polynomial/exponentiation over **big** finite fields/rings
- ◇ usually not known to be NP-complete, many subexponential algorithms $\Rightarrow$ **huge** blocks (e.g. 1024 bits).

Multivariate cryptography basic problems :

- ◇ **Many multivariate** polynomial equations over **small** finite fields/rings
- ◇ NP-hard problems, especially those that seem hard on average and are **exponential** to solve $\Rightarrow$ **small** blocks of 80..160 bits.

Zero-knowledge Authentication schemes :

[Goldwasser, Micali, Rackoff 1985]

Provably secure entity authentication based on a difficult problem.

Known solutions

The best practical Zero-knowledge protocols are arithmetical :
Fiat-Shamir, Guillou-Quisquater, Schnorr.

Still, there are **practical** schemes based on a NP complete problem :

- PKP [Shamir]
 - CLE [Stern]
 - PPP [Pointcheval]
 - Schemes related to coding [Harari, Girault, Veron, Stern, Chen].
- New algorithm in this branch - MinRank.

Famous decoding (closest codeword) problems in cryptography

- CVP problem in lattices :
decoding **group codes** over $\mathbb{R}$ with **Euclidian** distance.
- Syndrome Decoding (SD) :
decoding **linear codes** over K with **Hamming** distance.
- MinRank problem :
decoding **group codes** over K with **Rank** distance :

The problem $\text{MinRank}(\eta \times n, m, r, K)$

Given : $1 + m$ matrices $\eta \times n$ over a field $K : M_0; M_1, \dots, M_m$.
Find an linear combination α of M_i [+ constant M_0] of small rank.

$$\text{Rank}(M_0 + \sum_i \alpha_i M_i) \leq r.$$

The hardness of MinRank

Fact : MinRank is NP-complete [Shallit, Frandsen, Buss 1996].

Proof 1 : MinRank can encode any set of multivariate equations. NP-complete already for quadratic, MQ problem [Eurocrypt'00].

Proof 2 : MinRank contains syndrome decoding. Also trivial reduction from rank-distance syndrome decoding.

These problems are known as hard on average, not only worst case. All known attacks on these problems are exponential... expect the same for MinRank !

MinRank attacks

- ◇ First put $m := \text{Min}(m, \eta n + r^2 - (\eta + n)r + 1)$
Exceptions : constructed small number of solutions.
- ◇ If $\eta < n$, transpose all matrices. Now $\eta \geq n$.
- ◇ **Brute force attack** $WF \approx q^m$.
- ◇ **Random square MinRank** $r \approx n$ [Schnorr] Let $n = r - s$, then
 $m := \text{Min}(m, s^2)$, broken in q^{s^2} . Fails for rectangular matrices.
- ◇ **Sub-matrices Attack** $r \ll n$ [Coppersmith-Stern-Vaudenay, C'93].
All the sub-matrices $(r+1) \times (r+1)$ are singular. $WF \approx m^{\omega r}$.
- ◇ **MinRank** $\rightarrow$ **overdefined MQ** $r \ll n$ [Shamir-Kipnis, Crypto'99]
Express the fact that columns $r+1..n$ are dependent. $WF \approx n^{\omega r}$
- ◇ **The Kernel Attack** [Goubin, Asiacrypt 2000] Guess the kernel of
the matrix. The best attack for small q , $WF \approx q^{\lceil \frac{m}{\eta} \rceil r}$.
- ◇ **The Big m Attack** $m \gg n$ [Courtois], $WF \approx q^{Max(0, \eta(n-r) - m)}$.
- ◇ **The Syndrome Attack** $m \gg n$ [Gabidulin, Courtois]. Uses
syndromes and linearity. $WF \approx q^{Max(\frac{\eta n - m - 1}{2}, (\eta + n)r/2 - m - r^2/4)}$
 $\Rightarrow$ All attacks are exponential

MinRank in cryptanalysis of other known PK cryptosystems

- ◇ Shamir-Kipnis attack on Patarin's Hidden Field Equations HFE scheme [Crypto'99], reduction from HFE to MinRank.
- ◇ Improved by Courtois [RSA'01], now the best known structural attack for all HFE family of cryptosystems and also for C^* Matsumoto-Imai family.
- ◇ Cryptanalysis of TPM and TTM by Goubin and Courtois [Asiacrypt'00].
- ◇ Cryptanalysis of Shamir's birational signature scheme by Coppersmith, Stern and Vaudenay [Crypto'93].
- ◇ MinRank used for decoding rank distance codes : Chen and GPT family cryptosystems. For some parameters the best attack known for Chen [preprint].

What's new? Another cryptosystem, based directly on any instance of MinRank problem.

Protocol settings

Simplification : square matrices $\eta = n$ and $M_0 = 0$.

The public key : m matrices $n \times n$ over $GF(q) : M_1, \dots, M_m$.

The secret key : is $\alpha \in GF(q)^n$, such that

$$Rank(\sum \alpha_i \cdot M_i) = r \quad \text{and} \quad r < n.$$

Let $M = \sum \alpha_i \cdot M_i$.

Key generation : All but 1 matrices are random, let M be a random matrix of rank r ; now choose random $\alpha_1, \dots, \alpha_m$ with $\alpha_m \neq 0$ and compute $M_m = (M - \sum_{i=1}^{m-1} \alpha_i \cdot M_i) / \alpha_m$.

Proposed instances of MinRank.

Field K	matrices m	size n	rank r	Best Attack complexity
GF(2)	121	21	10	2^{81}
GF(2)	190	29	15	2^{128}
GF(65521)	10	6	3	2^{106}
GF(65521)	10	7	4	2^{122}

[online] entity authentication / proof of identity

An interactive protocol between the **P**rover and the **V**erifier.

Goal : At the end of interaction **V** says *Accept* or *Refuse*.

The Prover computations

P chooses :

- two random non-singular matrices S and T .
- a random $n \times n$ matrix X .
- a random combination β_1 of M_i :

$$N_1 = \sum \beta_{1i} \cdot M_i$$

P uses the expression of M to get an expression of $N_2 = M + N_1$ as :

$$N_2 = \sum \beta_{2i} \cdot M_i$$

Each of them is a random combination with $N_2 - N_1 = M$.

One round of identification

$$\xrightarrow{H(X), H(TN_1S + X), H(TN_2S + X), H(S, T)}$$

$$\xleftarrow{q \in \{0, 1, 2\}}$$

If $q = 0$ the prover reveals :

$$\xrightarrow{(TN_1S + X), (TN_2S + X)}$$

If $q = 1, 2$ the prover reveals :

$$\xrightarrow{S, T, \beta_q, X}$$

Why it works :

Principle : $(TN_2S + X) - (TN_1S + X) = T(N_2 - N_1)S = TMS$.

1. If $q=1$ or 2 only random variables are disclosed.
2. Given two random non-singular matrices S and T .
 TMS gives no information on M , just a random matrix of rank r !
3. To disclose $(TN_1S + X)$ and $(TN_2S + X)$ is equivalent to disclosing of $(TN_1S + X)$ that is random and uniform and $(TN_2S + X) - (TN_1S + X) = TMS$.

The security of an authentication scheme

The **strongest possible** security for an authentication scheme :

1. **Adversarial objective** : Obtain any information from the

Prover that the **Verifier** cannot compute himself.

2. **Adversarial resources** : Probabilistic Turing machine in time $T(n)$ and success probability $\varepsilon(n)$; n = security parameter.

Here we will be able to have $T(n)/\varepsilon(n)$ = exponential expression in n . Both asymptotic and concrete security!

3. **Access** to the system (i.e. initial penetration).

Adaptive Verifier with unlimited interactions.

The security proof

Proof technique : Prove that it is a Zero-knowledge scheme.

Proof of Zero-knowledge

Black-box Zero-knowledge [Goldwasser, Micali, Rackoff 1985].

- **Correctness** An honest Prover is always accepted.
- **Soundness** No one can impersonate the Prover with an overwhelming probability.
- **Zero-knowledge** An (even malicious) verifier cannot extract from the Prover any information about Prover's secret knowledge (or ability) that he can't find out himself.

My scheme : If an adversary can answer all possible 3 questions, then **either he found a solution to MinRank either he found a collision for H.**

$T(n)/\varepsilon(n) \geq$ exponential for both problems.

Performances of the scheme

Simple scheme : cheating probability $\frac{2}{3}$ in 3 moves. 35 rounds necessary to achieve the impersonation probability of 10^{-6} .

Improved scheme : cheating probability $\frac{1}{2}$ in 3 moves. 20 rounds are enough. Requires an additional assumption (MQ).

I used several other tricks to improve the communications of the scheme.

My best version requires for a security of 2^{SF} to transmit the following number of bits :

$$2SF + \left(4SF + 9 + \frac{n\eta + m}{2} \log_2 q \right) \cdot \text{\#rounds}.$$

	PKP Shamir	SD Stern	Chen Chen	CLE Stern	PPP Pointcheval	MinRank Courtois
matrix field	16x34 F ²⁵¹	256x512 F ²	32x16 F ⁶⁵⁵³⁵	24x48 F ²⁵⁷	101x117 F ²	6x6 F ⁶⁵⁵²¹
passes	5	3	5	3/5	3/5	3
impersonation probability	$\frac{1}{2}$	$\frac{2}{3}$	$\frac{1}{2}$	$\frac{2}{3} / \frac{1}{2}$	$\frac{3}{4} / \frac{2}{3}$	$\frac{2}{3} / \frac{1}{2}$
rounds	20	35	20	35/20	48/35	35/20
impersonation global	10 ⁻⁶	10 ⁻⁶	10 ⁻⁶	10 ⁻⁶	10 ⁻⁶	10 ⁻⁶
public key [bits]	272	256	256	80	149	735
secret key [bits]	128	512	512	80	117	160
best attack	2 ⁶⁰	2 ⁷⁰	2 ⁵³	2 ⁷³	2 ⁶¹	2¹⁰⁶
bits send/round	665	954	1553	940/824	896/1040	1075/694
global [Kbytes]	1.62	4.08	3.79	4.01/ 2.01	5.25/4.44	4.6/ 1.94

Multiple user setting

Each user has one solution to MinRank and no information about other solutions :

Pick $1 + m$ [pseudo-]random matrices $M_0; M_1, \dots, M_m$.

Each user $i \in \text{group } G$ chooses for a random matrix M of rank r and a random combination $\sum \beta_i M_i$. His [part of] public key is : $U_i = M_0 + \sum \beta_i M_i + M$.

Then the set of matrices :

$M_0; M_1, \dots, M_m$; with the $\{U_i | i \in G\}$ is the public key for any subgroup G .

Now any member can use the MinRank authentication scheme to **anonymously** prove his membership for any subgroup $G' \subseteq G$ to which he belongs.

Easy setup, unlimited updates, no trusted parties and fully public key (no shared secrets).

Ring Signatures with MinRank

Transform MinRank authentication into a signature scheme [FS heuristics] + the multi-user setting :

$\Rightarrow$ anonymous group signature scheme known as **a ring signature scheme** [Rivest, Shamir, Tauman, Asiacrypt 2001].

Conclusion :

Another successful application of MinRank in cryptography.

Here is a new provably secure authentication scheme in the strongest sense I know.

It can be used to prove the knowledge of a solution to any system of multivariate equations over a finite field. It can be also used for anonymous group authentication and ring signatures.

There are good reasons to hope that all the attacks for MinRank are be exponential.

Remark : MinRank is easy to implement and **royalty-free !**